



National Practitioner Data Bank Healthcare Integrity and Protection Data Bank

P.O. Box 10832, Chantilly, Virginia 20153-0832 • www.npdb-hipdb.hrsa.gov



Adverse Action Classification Codes – Organization Subjects

Health Plan Action

Health Plan Action — Actions

- 3920 Contract Termination
- 3930 Suspension of Contract
- 3932 Administrative Fine/Monetary Penalty
- 3950 Denial of Contract Application or Renewal
- 3989 Other Health Plan Action, Specify, _____

Health Plan Action — Revisions to Actions (No Basis for Action Code Required)

- 3990 Reinstatement
- 3992 Reinstatement Denied
- 3995 Reduction of Previous Action
- 3996 Extension of Previous Action

Exclusion or Debarment

Exclusion or Debarment — Actions

- 3500 Debarment From Federal Programs
- 3505 Exclusion From a Federal Health Care Program
- 3507 Exclusion From a State Health Care Program
- 3508 Exclusion From Medicare, Medicaid and All Other Federal Health Care Programs¹
- 3509 Exclusion From Medicare and State Health Care Programs¹

Exclusion or Debarment — Revisions to Actions (No Basis for Action Code Required)

- 3515 Reinstatement
- 3516 Reinstatement Denied

¹ **These codes are for the HHS Office of Inspector General (OIG) use only.** In cases in which the HHS OIG submits an Exclusion or Debarment action, the HHS OIG may not select multiple Adverse Action Classification Codes when reporting either of the following two codes: Exclusion from Medicare, Medicaid and all other Federal Health Care Programs, or Exclusion from Medicare and State Health Care Programs. Additional actions should be submitted in separate reports.

All other reporters of Exclusion or Debarment actions may select any available Adverse Action Classification Code alone or in combination except for the two codes noted above.



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Federal or State Licensure

Licensure — Actions

- 3111 Revocation of License or Certificate
- 3136 Suspension of License or Certificate
- 3141 Voluntary Surrender of License or Certificate
- 3143 Conditional or Probationary License or Certificate
- 3144 Denial of License or Certificate Renewal
- 3145 Denial of Initial License or Certificate
- 3202 Directed Plan of Correction
- 3203 On-Site Monitoring
- 3205 Directed In-Service Training
- 3206 Appointment of Temporary Management
- 3207 Restrictions on Admissions or Services
- 3210 Closure of Facility
- 3212 Transfer of Residents to Other Facilities Without Closure of the Facility
- 3220 Receivership
- 3225 Liquidation
- 3230 Civil Money Penalty
- 3233 Publicly Available Fine/Monetary Penalty
- 3239 Other Licensure Action - Not Classified, Specify, _____

Licensure — Revisions to Actions (No Basis for Action Codes Required)

- 3281 License or Certificate Restored or Reinstated, Complete
- 3283 License or Certificate Restored or Reinstated, Conditional
- 3286 License or Certificate Restoration or Reinstatement Denied
- 3295 Reduction of Previous Licensure Action
- 3296 Extension of Previous Licensure Action



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Government Administrative

Government Administrative Actions

- 3202 Directed Plan of Correction
- 3203 On-Site Monitoring
- 3205 Directed In-Service Training
- 3206 Appointment of Temporary Management
- 3207 Restrictions on Admissions or Services
- 3210 Closure of Facility
- 3212 Transfer of Residents to Other Facilities Without Closure of the Facility
- 3230 Civil Money Penalty
- 3232 Administrative Fine/Monetary Penalty
- 3510 Termination of Medicare or Other Federal Health Care Program Participation
- 3512 Voluntary Termination of Medicare or Other Federal Health Care Program Participation After Notification of Investigation or Disciplinary Action
- 3513 Nonrenewal of Medicare or Other Federal Health Care Program Participation Agreement for Cause
- 3517 Voluntary Termination of Medicaid or Other State Health Care Program Participation After Notification of Investigation or Disciplinary Action
- 3518 Nonrenewal of Medicaid or Other State Health Care Program Participation Agreement for Cause
- 3520 Contract Termination
- 3540 Marketing Activities Suspended or Restricted
- 3542 Beneficiary Enrollment Suspended
- 3551 Termination of Medicaid or Other State Health Care Program Participation
- 3589 Other Action - Not Classified, Specify, _____

Other Adverse Action - Revisions to Actions (No Basis for Action Code Required)

- 3590 Reinstatement
- 3592 Reinstatement Denied
- 3595 Reduction of Previous Action
- 3596 Extension of Previous Action